

FORM NO. MGT 9
EXTRACT OF ANNUAL RETURN
As on financial year ended on 31.03.2021

Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the
Company (Management & Administration) Rules, 2014.

I. Registration & Other Details:

i) CIN	L74899HR1944PLC039088
ii) Registration Date	17-Oct-44
iii) Name of the Company	Escorts Limited
iv) Category/Sub-category of the Company	Public Company/ Limited by shares
v) Address of the Registered office & contact details	15/5, Mathura Road, Faridabad – 121 003, Haryana, India Tel.: 0129-2250222
vi) Whether listed company	Yes
vii) Name, Address & contact details of the Registrar & Transfer Agent, if any.	Kfin Technologies Private Limited Unit: Escorts Limited Selenium Building, Tower B, Plot No. 31-32, Financial District, Nanakramguda, Serilingampally, Hyderabad – 500 032 Ph No 040-67162222 Fax 040-23001153 Toll Free no.: 18003094001 Email – inward.ris@kfintech.com

II. Principal Business Activities of the Company

All the business activities contributing 10 % or more of the total turnover of the company shall be stated

S. No.	Name and Description of main products / services	NIC Code of the Product/service	% to total turnover of the company
1	Agricultural Tractors	28211	81.88%
2	Construction Equipments	2824	11.21%

III. Particulars of Holding, Subsidiary and Associate Companies

S. No.	Name and address of the Company	CIN/GLN	Holding/ Subsidiary/ Associate	% of shares held	Applicable Section
1	Escorts Securities Limited 525, DLF Prime Tower, New Delhi – 110020, India	U74899DL1994PLC062140	Subsidiary	51.26%	2(87)(i)
2	Escorts Crop Solutions Limited (earlier known as Eddal Credit Limited) 18/4, Mathura, Road, Faridabad – 121 007, Haryana, India	U01100HR1995PLC032681	Subsidiary	100%*	2(87)(ii)
3	Escorts Finance Limited SCO-64-65, Third Floor, Sector – 17A, Chandigarh – 160017, India	L65910CH1987PLC033652	Subsidiary	69.42%	2(87)(ii)
4	Farmtrac Tractors Europe Sp. Zo.o Ul. Przemyslowa 11, 11-700 Mragowo, Poland	N.A.	Subsidiary	100%	2(87)(ii)
5	Adico Escorts Agri Equipments Private Limited Galaxy Oil Compound, Suvay No. 211/1-2, Kothariaya, Rajkot – 360 003, Gujarat, India	U29211GJ2011PTC065867	Joint Venture	40%	2(6)
6	Tadano Escorts India Private Limited (earlier Optunia Power India Private Limited) 15/5, Mathura Road, Faridabad, Haryana – 121 003, India	U29309HR2018PTC075923	Joint Venture	49%	2(6)

S. No.	Name and address of the Company	CIN/GLN	Holding/ Subsidiary/ Associate	% of shares held	Applicable Section
7	Escorts Kubota India Private Limited 18/4, Mathura Road, Faridabad, Haryana – 121007, India	U34300HR2019FTC078790	Joint Venture	40%	2(6)
8	Kubota Agricultural Machinery India Private Limited 18/4, Mathura Road, Faridabad, Haryana – 121007, India	U29210HR2008FTC093295	Associate	40%	2(6)
9	Escorts Consumer Credit Limited C-30, Friends Colony (East), New Delhi – 110 065, India	U65991DL1991PLC174993	Associate	29.41%	2(6)

* Rounded off to 100%

IV. Share Holding Pattern (Equity Share Capital Breakup as percentage of Total Equity)

i. Category-wise Shareholding

S. No.	Category of Shareholder	No. of Shares Held at the Beginning of the year 30/03/2019				No. of Shares Held at the end of the year 31/03/2020				% Change During The Year
		Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)
(A)	Promoter and Promoter Group									
(1)	Indian									
(a)	Individual /HUF	1440267	0	1440267	1.17	1442267	0	1442267	1.07	-0.11
(b)	Central Government/State Government(s)	0	0	0	0.00	0	0	0	0.00	0.00
(c)	Bodies Corporate	14193382	0	14193382	11.58	14191382	0	14191382	10.53	-1.05
(d)	Financial Institutions / Banks	0	0	0	0.00	0	0	0	0.00	0.00
(e)	Others - trust	33700031	0	33700031	27.49	33700031	0	33700031	24.99	-2.50
	Sub-Total A(1)	49333680	0	49333680	40.25	49333680	0	49333680	36.59	-3.66
(2)	Foreign									
(a)	Individuals (NRIs/Foreign Individuals)	0	0	0	0.00	0	0	0	0.00	0.00
(b)	Bodies Corporate	0	0	0	0.00	0	0	0	0.00	0.00
(c)	Institutions	0	0	0	0.00	0	0	0	0.00	0.00
(d)	Qualified Foreign Investor	0	0	0	0.00	0	0	0	0.00	0.00
(e)	Others	0	0	0	0.00	0	0	0	0.00	0.00
	Sub-Total A(2)	0	0	0	0.00	0	0	0	0.00	0.00
	Total Shareholding of Promoter A=A(1)+A(2)	49333680	0	49333680	40.25	49333680	0	49333680	36.59	-3.66
(B)	Public Shareholding									
(1)	Institutions									
(a)	Mutual Funds /UTI	13401433	277	13401710	10.93	8789907	115	8790022	6.52	-4.41
(b)	Financial Institutions /Banks	5710	2843	8553	0.01	146527	2300	148827	0.11	0.10
(c)	Central Government / State Government(s)	0	0	0	0.00	0	0	0	0.00	0.00
(d)	Venture Capital Funds	0	0	0	0.00	0	0	0	0.00	0.00
(e)	Insurance Companies	25000	300	25300	0.02	188000	300	188300	0.14	0.12
(f)	Foreign Institutional Investors	23636346	450	23636796	19.28	34986510	450	34986960	25.95	6.66
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0	0	0	0.00	0.00
(h)	Qualified Foreign Investor	0	0	0	0.00	0	0	0	0.00	0.00
(i)	Others	0	0	0	0.00	0	0	0	0.00	0.00
	Sub-Total B(1)	37068489	3870	37072359	30.24	44110944	3165	44114109	32.72	2.47

S. No.	Category of Shareholder	No. of Shares Held at the Beginning of the year 30/03/2019				No. of Shares Held at the end of the year 31/03/2020				% Change During The Year
		Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)
(2)	Non-Institutions									
	(a) Bodies Corporate	2399181	16498	2415679	1.97	1071502	15880	1087382	0.81	-1.16
	(b) Individuals									
	(i) Individuals holding nominal share capital upto ₹ 1 lakh	12641518	1125090	13766608	11.23	11534214	976967	12511181	9.28	-1.95
	(ii) Individuals holding nominal share capital in excess of ₹ 1 lakh	15730539	10153	15740692	12.84	9439757	10153	9449910	7.01	-5.83
	(c) Others									
	(i) Clearing Members	383316	0	383316	0.31	241316	0	241316	0.18	-0.13
	(ii) Foreign Bodies	0	0	0	0.00	12257688	0	12257688	9.09	9.09
	(iii) I E P F	501716	0	501716	0.41	624483	0	624483	0.46	0.05
	(iv) NBFC	6475	0	6475	0.01	52174	0	52174	0.04	0.03
	(v) Non Resident Indians	605751	14410	620161	0.51	545676	12511	558187	0.41	-0.09
	(vi) Nri Non-Repatriation	257539	6276	263815	0.22	260925	5326	266251	0.20	-0.02
	(vii) NRI Repatriation	0	23416	23416	0.02	0	19201	19201	0.01	0.00
	(viii) Qualified Institutional Buyer	2300328	0	2300328	1.88	1340156	0	1340156	0.99	-0.88
	(ix) Trusts	148633	0	148633	0.12	2978848	0	2978848	2.21	2.09
	(d) Qualified Foreign Investor	0	0	0	0.00	0	0	0	0.00	0.00
	Sub-Total B(2)	34974996	1195843	36170839	29.51	40346739	1040038	41386777	30.69	1.19
	Total Public Shareholding B=B(1)+B(2)	72043485	1199713	73243198	59.75	84457683	1043203	85500886	63.41	3.66
	(C) Shares held by custodians for GDRs and ADRs	0	0	0	0	0	0	0	0	0
	Grand Total (A+B+C)	121377165	1199713	122576878	100	133791363	1043203	134834566	100.00	0.00

ii. Shareholding of Promoters

SI No.	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% Change in share holding during the year
		No. of Shares	% of total Shares of the Company	% of Shares Pledged/encumbered to total shares	No. of Shares	% of total Shares of the Company	% of Shares Pledged/encumbered to total shares	
1	Nikhil Nanda	1203091	0.98	0.00	1203091	0.89	0.00	-
2	Hardeep Singh	500	0.00	0.00	500	0.00	0.00	-
3	Girish Behari Mathur**	179	0.00	0.00	179	0.00	0.00	-
4	Navya Naveli Nanda	18000	0.01	0.00	18000	0.01	0.00	-
5	Nitasha Nanda	191422	0.16	0.00	193422	0.14	0.00	0.00
6	Shweta Nanda	17000	0.01	0.00	17000	0.01	0.00	-
7	Agastya Nanda	10000	0.01	0.00	10000	0.01	0.00	-
8	Rachna Mathur**	75	0.00	0.00	75	0.00	0.00	-
9	Big Apple Clothing Pvt. Ltd.	1771837	1.45	0.00	1771837	1.31	0.00	-
10	Charak Ayurvedic Treatments Pvt. Ltd.	2000	0.00	0.00	0	0.00	0.00	-0.00
11	Dr. Sutanu Behuria, Trustee of Escorts Benefit and Welfare Trust	33700031	27.49	0.00	33700031	24.99	0.00	-
12	Har Parshad and Company Pvt. Ltd.	10726308	8.75	0.00	10726308	7.96	0.00	-

SI No.	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% Change in share holding during the year
		No. of Shares	% of total Shares of the Company	% of Shares Pledged/ encumbered to total shares	No. of Shares	% of total Shares of the Company	% of Shares Pledged/ encumbered to total shares	
13	Escorts Finance Ltd.	31	0.00	0.00	31	0.00	0.00	-
14	AAA Portfolios Pvt. Ltd.	1687280	1.38	0.00	1687280	1.25	0.00	-
15	Niky Tasha Energies Pvt. Ltd.	1250	0.00	0.00	1250	0.00	0.00	-
16	Niky Tasha Communications Pvt. Ltd.	3850	0.00	0.00	3850	0.00	0.00	-
17	Sietz Technologies India Pvt. Ltd.	826	0.00	0.00	826	0.00	0.00	-
	Total	49333680	40.25	0.00	49333680	36.59	0.00	-

** Request for reclassification from Promoter to Public is under process

iii. Change in Promoters' Shareholding

SI No.	Particulars	Shareholding at the beginning (01-04-2020) and at the end of the year (31-03-2021)		Cumulative Shareholding during the year	
		No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
1	Charak Ayurvedic Treatments Pvt. Ltd.				
	At the beginning of the year	2000	0.00		
	(-) Sale	-2000	-0.00	0	-
	At the end of the year			0	-
2	Nitasha Nanda				
	At the beginning of the year	191422	0.16		
	(+) Purchase	2000	0.00	193422	0.16
	At the end of the year			193422	

iv. Shareholding Pattern of top ten shareholders (other than Directors, Promoters and Holders of GDRs and ADRs)

S. No.	Name of the Shareholder	No of Shares	% of total shares of the company	Date of transfer	Increase/ Decrease in share holding	Reason	No of Shares	% of total shares of the company
1	Kubota Corporation	0	0.00	01/04/2020			0	0.00
				07/08/2020	12257688	Allotment	12257688	9.09
				31/03/2021			12257688	9.09
2	Rakesh Jhunjunwala	9100000	7.42	01/04/2020			9100000	7.42
				05/06/2020	100000	Transfer	9200000	7.51
				05/06/2020	-100000	Transfer	9100000	7.42
				12/06/2020	500000	Transfer	9600000	7.83
				12/06/2020	-500000	Transfer	9100000	7.42
				10/07/2020	-100000	Transfer	9000000	7.34
				17/07/2020	-302000	Transfer	8698000	7.10
				24/07/2020	-600400	Transfer	8097600	6.61
				31/07/2020	52400	Transfer	8150000	6.65
				31/07/2020	-1050000	Transfer	7100000	5.79
				28/08/2020	500000	Transfer	7600000	5.64
				18/09/2020	491000	Transfer	8091000	6.00
				18/09/2020	-491000	Transfer	7600000	5.64
				06/11/2020	-600000	Transfer	7000000	5.19

S. No.	Name of the Shareholder	No of Shares	% of total shares of the company	Date of transfer	Increase/ Decrease in share holding	Reason	No of Shares	% of total shares of the company
				04/12/2020	300000	Transfer	7300000	5.41
				04/12/2020	-400000	Transfer	6900000	5.12
				11/12/2020	-300000	Transfer	6600000	4.89
				18/12/2020	459000	Transfer	7059000	5.24
				18/12/2020	-659000	Transfer	6400000	4.75
				26/02/2021	350000	Transfer	6750000	5.01
				26/02/2021	-350000	Transfer	6400000	4.75
				31/03/2021			6400000	4.75
3	UTI Small Cap Fund	4187499	3.42	01/04/2020			4187499	3.42
				08/05/2020	10650	Transfer	4198149	3.42
				15/05/2020	82763	Transfer	4280912	3.49
				29/05/2020	-78072	Transfer	4202840	3.43
				05/06/2020	5000	Transfer	4207840	3.43
				19/06/2020	28000	Transfer	4235840	3.46
				26/06/2020	2000	Transfer	4237840	3.46
				26/06/2020	-130181	Transfer	4107659	3.35
				24/07/2020	-10022	Transfer	4097637	3.34
				31/07/2020	-62313	Transfer	4035324	3.29
				21/08/2020	-22906	Transfer	4012418	2.98
				28/08/2020	1630	Transfer	4014048	2.98
				28/08/2020	-56079	Transfer	3957969	2.94
				04/09/2020	-79000	Transfer	3878969	2.88
				11/09/2020	-130130	Transfer	3748839	2.78
				18/09/2020	-101395	Transfer	3647444	2.71
				25/09/2020	-32609	Transfer	3614835	2.68
				30/09/2020	-10000	Transfer	3604835	2.67
				09/10/2020	-47639	Transfer	3557196	2.64
				16/10/2020	-197981	Transfer	3359215	2.49
				23/10/2020	-79000	Transfer	3280215	2.43
				06/11/2020	-19118	Transfer	3261097	2.42
				13/11/2020	-225055	Transfer	3036042	2.25
				20/11/2020	-74753	Transfer	2961289	2.20
				27/11/2020	-58578	Transfer	2902711	2.15
				04/12/2020	-163769	Transfer	2738942	2.03
				11/12/2020	-226562	Transfer	2512380	1.86
				18/12/2020	-108663	Transfer	2403717	1.78
				25/12/2020	1923	Transfer	2405640	1.78
				31/12/2020	53688	Transfer	2459328	1.82
				31/12/2020	-35809	Transfer	2423519	1.80
				08/01/2021	20065	Transfer	2443584	1.81
				08/01/2021	-2652	Transfer	2440932	1.81
				15/01/2021	-7260	Transfer	2433672	1.80
				22/01/2021	-1797	Transfer	2431875	1.80
				29/01/2021	-38425	Transfer	2393450	1.78
				05/02/2021	-17414	Transfer	2376036	1.76
				12/02/2021	-16240	Transfer	2359796	1.75
				26/02/2021	-5760	Transfer	2354036	1.75

S. No.	Name of the Shareholder	No of Shares	% of total shares of the company	Date of transfer	Increase/ Decrease in share holding	Reason	No of Shares	% of total shares of the company
				12/03/2021	-10856	Transfer	2343180	1.74
				19/03/2021	5470	Transfer	2348650	1.74
				19/03/2021	-20250	Transfer	2328400	1.73
				26/03/2021	655	Transfer	2329055	1.73
				26/03/2021	-10252	Transfer	2318803	1.72
				31/03/2021	306	Transfer	2319109	1.72
				31/03/2021			2319109	1.72
4	Escorts Employees Benefit and Welfare Trust (Mr. Anil Kumar Chandrashekar, Trustee)	3074512	2.51	01/04/2020			3074512	2.51
				10/07/2020	-3500	Transfer	3071012	2.51
				17/07/2020	-2550	Transfer	3068462	2.50
				24/07/2020	-500	Transfer	3067962	2.50
				07/08/2020	-4312	Transfer	3063650	2.27
				21/08/2020	-2500	Transfer	3061150	2.27
				28/08/2020	-5962	Transfer	3055188	2.27
				04/09/2020	-5962	Transfer	3049226	2.26
				11/09/2020	-7100	Transfer	3042126	2.26
				18/09/2020	-35200	Transfer	3006926	2.23
				25/09/2020	-4550	Transfer	3002376	2.23
				09/10/2020	-3000	Transfer	2999376	2.22
				16/10/2020	-1920	Transfer	2997456	2.22
				30/10/2020	-7913	Transfer	2989543	2.22
				13/11/2020	-9500	Transfer	2980043	2.21
				20/11/2020	-3000	Transfer	2977043	2.21
				27/11/2020	-13900	Transfer	2963143	2.20
				04/12/2020	-2200	Transfer	2960943	2.20
				11/12/2020	-7500	Transfer	2953443	2.19
				18/12/2020	-3775	Transfer	2949668	2.19
				25/12/2020	-8450	Transfer	2941218	2.18
				31/12/2020	-400	Transfer	2940818	2.18
				08/01/2021	-4000	Transfer	2936818	2.18
				05/02/2021	-500	Transfer	2936318	2.18
				12/02/2021	-11700	Transfer	2924618	2.17
				26/02/2021	-4400	Transfer	2920218	2.17
				12/03/2021	-10125	Transfer	2910093	2.16
				19/03/2021	-10750	Transfer	2899343	2.15
				26/03/2021	-4500	Transfer	2894843	2.15
				31/03/2021	-450	Transfer	2894393	2.15
				31/03/2021			2894393	2.15
5	T. Rowe Price International Discovery Fund	2977140	2.43	01/04/2020			2977140	2.43
				11/12/2020	-205343	Transfer	2771797	2.06
				12/02/2021	-94957	Transfer	2676840	1.99
				31/03/2021			2676840	1.99
6	Tata Mutual Fund- Tata Equity P/E Fund	1891000	1.54	01/04/2020			1891000	1.54
				17/04/2020	-100000	Transfer	1791000	1.46
				29/05/2020	-40000	Transfer	1751000	1.43
				05/06/2020	-50000	Transfer	1701000	1.39

S. No.	Name of the Shareholder	No of Shares	% of total shares of the company	Date of transfer	Increase/ Decrease in share holding	Reason	No of Shares	% of total shares of the company
				19/06/2020	-20000	Transfer	1681000	1.37
				31/07/2020	-135000	Transfer	1546000	1.26
				07/08/2020	-93000	Transfer	1453000	1.08
				25/09/2020	-60000	Transfer	1393000	1.03
				30/10/2020	-170000	Transfer	1223000	0.91
				06/11/2020	-75000	Transfer	1148000	0.85
				13/11/2020	-26000	Transfer	1122000	0.83
				20/11/2020	-60000	Transfer	1062000	0.79
				11/12/2020	-17000	Transfer	1045000	0.78
				05/02/2021	-170000	Transfer	875000	0.65
				19/03/2021	-21000	Transfer	854000	0.63
				31/03/2021			854000	0.63
7	Sticing Depository APG Emerging Markets Equity P	0	0.00	01/04/2020			0	0.00
				22/05/2020	199936	Transfer	199936	0.16
				29/05/2020	198202	Transfer	398138	0.32
				05/06/2020	75745	Transfer	473883	0.39
				03/07/2020	37765	Transfer	511648	0.42
				07/08/2020	110781	Transfer	622429	0.46
				14/08/2020	74875	Transfer	697304	0.52
				21/08/2020	114288	Transfer	811592	0.60
				28/08/2020	64289	Transfer	875881	0.65
				04/09/2020	29631	Transfer	905512	0.67
				11/09/2020	58687	Transfer	964199	0.72
				18/09/2020	39571	Transfer	1003770	0.74
				25/09/2020	50409	Transfer	1054179	0.78
				23/10/2020	54528	Transfer	1108707	0.82
				06/11/2020	-167953	Transfer	940754	0.70
				04/12/2020	27914	Transfer	968668	0.72
				11/12/2020	14561	Transfer	983229	0.73
				25/12/2020	122524	Transfer	1105753	0.82
				15/01/2021	235089	Transfer	1340842	0.99
				22/01/2021	62921	Transfer	1403763	1.04
				29/01/2021	15110	Transfer	1418873	1.05
				05/02/2021	-8948	Transfer	1409925	1.05
				12/03/2021	43158	Transfer	1453083	1.08
				19/03/2021	29702	Transfer	1482785	1.10
				26/03/2021	37336	Transfer	1520121	1.13
				31/03/2021			1520121	1.13
8	Societe Generale	1350115	1.10	01/04/2020			1350115	1.10
				03/04/2020	8126	Transfer	1358241	1.11
				10/04/2020	-8356	Transfer	1349885	1.10
				17/04/2020	1944	Transfer	1351829	1.10
				24/04/2020	14860	Transfer	1366689	1.11
				01/05/2020	-21198	Transfer	1345491	1.10
				08/05/2020	-26058	Transfer	1319433	1.08
				15/05/2020	-274562	Transfer	1044871	0.85
				22/05/2020	185435	Transfer	1230306	1.00

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				22/05/2020	-1100	Transfer	1229206	1.00
				29/05/2020	58035	Transfer	1287241	1.05
				29/05/2020	-14300	Transfer	1272941	1.04
				05/06/2020	11465	Transfer	1284406	1.05
				12/06/2020	76650	Transfer	1361056	1.11
				19/06/2020	19800	Transfer	1380856	1.13
				19/06/2020	-79321	Transfer	1301535	1.06
				26/06/2020	-67294	Transfer	1234241	1.01
				30/06/2020	-73250	Transfer	1160991	0.95
				03/07/2020	-11000	Transfer	1149991	0.94
				10/07/2020	-243770	Transfer	906221	0.74
				17/07/2020	-298631	Transfer	607590	0.50
				24/07/2020	-264000	Transfer	343590	0.28
				31/07/2020	-203455	Transfer	140135	0.11
				07/08/2020	128700	Transfer	268835	0.20
				07/08/2020	-120000	Transfer	148835	0.11
				21/08/2020	41800	Transfer	190635	0.14
				28/08/2020	58300	Transfer	248935	0.18
				04/09/2020	52096	Transfer	301031	0.22
				11/09/2020	3299	Transfer	304330	0.23
				11/09/2020	-57200	Transfer	247130	0.18
				18/09/2020	5524	Transfer	252654	0.19
				18/09/2020	-1100	Transfer	251554	0.19
				25/09/2020	618	Transfer	252172	0.19
				25/09/2020	-3300	Transfer	248872	0.18
				30/09/2020	-182543	Transfer	66329	0.05
				02/10/2020	-4400	Transfer	61929	0.05
				09/10/2020	15074	Transfer	77003	0.06
				16/10/2020	46200	Transfer	123203	0.09
				16/10/2020	-2354	Transfer	120849	0.09
				23/10/2020	20900	Transfer	141749	0.11
				23/10/2020	-1515	Transfer	140234	0.10
				30/10/2020	-15400	Transfer	124834	0.09
				06/11/2020	-73242	Transfer	51592	0.04
				13/11/2020	36651	Transfer	88243	0.07
				20/11/2020	39707	Transfer	127950	0.09
				27/11/2020	131975	Transfer	259925	0.19
				04/12/2020	115466	Transfer	375391	0.28
				11/12/2020	11550	Transfer	386941	0.29
				11/12/2020	-25213	Transfer	361728	0.27
				18/12/2020	8714	Transfer	370442	0.27
				25/12/2020	-41952	Transfer	328490	0.24
				31/12/2020	21849	Transfer	350339	0.26
				31/12/2020	-9350	Transfer	340989	0.25
				01/01/2021	13608	Transfer	354597	0.26
				01/01/2021	-140250	Transfer	214347	0.16
				08/01/2021	16074	Transfer	230421	0.17

S. No.	Name of the Shareholder	No of Shares	% of total shares of the company	Date of transfer	Increase/ Decrease in share holding	Reason	No of Shares	% of total shares of the company
				15/01/2021	4400	Transfer	234821	0.17
				15/01/2021	-43032	Transfer	191789	0.14
				22/01/2021	-3240	Transfer	188549	0.14
				29/01/2021	-82775	Transfer	105774	0.08
				05/02/2021	81671	Transfer	187445	0.14
				12/02/2021	23280	Transfer	210725	0.16
				19/02/2021	-14959	Transfer	195766	0.15
				26/02/2021	6256	Transfer	202022	0.15
				26/02/2021	-103950	Transfer	98072	0.07
				05/03/2021	3260	Transfer	101332	0.08
				05/03/2021	-18054	Transfer	83278	0.06
				12/03/2021	-22885	Transfer	60393	0.04
				19/03/2021	2791	Transfer	63184	0.05
				26/03/2021	-27253	Transfer	35931	0.03
				31/03/2021	-263	Transfer	35668	0.03
				31/03/2021			35668	0.03
9	Abu Dhabi Investment Authority - Behave	1350000	1.10	01/04/2020			1350000	1.10
				05/06/2020	-37491	Transfer	1312509	1.07
				12/06/2020	-3426	Transfer	1309083	1.07
				19/06/2020	-116783	Transfer	1192300	0.97
				24/07/2020	-48000	Transfer	1144300	0.93
				31/07/2020	-21232	Transfer	1123068	0.92
				07/08/2020	-52168	Transfer	1070900	0.79
				21/08/2020	-284400	Transfer	786500	0.58
				20/11/2020	-81400	Transfer	705100	0.52
				27/11/2020	-11000	Transfer	694100	0.51
				11/12/2020	23504	Transfer	717604	0.53
				18/12/2020	-206400	Transfer	511204	0.38
				25/12/2020	-323209	Transfer	187995	0.14
				31/12/2020	-164491	Transfer	23504	0.02
				15/01/2021	51666	Transfer	75170	0.06
				05/03/2021	15749	Transfer	90919	0.07
				31/03/2021			90919	0.07
10	SBI Life Insurance Co. Ltd	1240656	1.01	01/04/2020			1240656	1.01
				24/04/2020	75000	Transfer	1315656	1.07
				01/05/2020	30000	Transfer	1345656	1.10
				15/05/2020	300000	Transfer	1645656	1.34
				22/05/2020	-50000	Transfer	1595656	1.30
				26/06/2020	-20000	Transfer	1575656	1.29
				03/07/2020	900	Transfer	1576556	1.29
				10/07/2020	-10000	Transfer	1566556	1.28
				31/07/2020	-13966	Transfer	1552590	1.27
				07/08/2020	-9947	Transfer	1542643	1.14
				21/08/2020	45000	Transfer	1587643	1.18
				28/08/2020	12502	Transfer	1600145	1.19

S. No.	Name of the Shareholder	No of Shares	% of total shares of the company	Date of transfer	Increase/ Decrease in share holding	Reason	No of Shares	% of total shares of the company
				04/09/2020	-17502	Transfer	1582643	1.17
				11/09/2020	-43200	Transfer	1539443	1.14
				18/09/2020	52500	Transfer	1591943	1.18
				02/10/2020	50000	Transfer	1641943	1.22
				30/10/2020	-10000	Transfer	1631943	1.21
				06/11/2020	-124000	Transfer	1507943	1.12
				13/11/2020	-157248	Transfer	1350695	1.00
				20/11/2020	-170000	Transfer	1180695	0.88
				27/11/2020	-49997	Transfer	1130698	0.84
				04/12/2020	-20000	Transfer	1110698	0.82
				11/12/2020	-71509	Transfer	1039189	0.77
				18/12/2020	-170000	Transfer	869189	0.64
				25/12/2020	-250000	Transfer	619189	0.46
				31/12/2020	-438125	Transfer	181064	0.13
				26/02/2021	25000	Transfer	206064	0.15
				05/03/2021	25000	Transfer	231064	0.17
				31/03/2021			231064	0.17

V. Indebtedness

Indebtedness of the Company including interest outstanding/accrued but not due for payment

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtness at the beginning of the financial year				
i) Principal Amount	6.60	0.05	0.00	6.65
ii) Interest due but not paid	0.00	0.00	0.00	0.00
iii) Interest accrued but not due	0.00	0.00	0.00	0.00
Total (i+ii+iii)	6.60	0.05	0.00	6.65
Change in Indebtedness during the financial year				
Additions	-	0.00	0.00	0.00
Reduction	6.60	0.05	0.00	6.65
Net Change	-6.60	-0.05	0.00	-6.65
Indebtedness at the end of the financial year				
i) Principal Amount	0.00	0.00	0.00	-
ii) Interest due but not paid	0.00	0.00	0.00	0.00
iii) Interest accrued but not due	0.00	0.00	0.00	0.00
Total (i+ii+iii)	0.00	0.00	0.00	0.00

VI. Remuneration of Directors and Key Managerial Personnel

A. Remuneration to Managing Director, Whole-time Directors and / or Manager

Sl. No.	Particulars of Remuneration	Name of MD/ WTD/ Manager			Total Amount (₹ in crores)
		Mr. Nikhil Nanda	Ms. Nitasha Nanda	Mr. Shailendra Agrawal	
1	Gross Salary				
a.	Salary as per provisions contained in Section 17(1) of the Income Tax Act, 1961	2.43	0.76	1.36	4.55
b.	Value of perquisites u/s 17(2) of the Income Tax Act, 1961	4.24	1.17	2.80**	8.21
c.	Profits in lieu of salary u/s 17(3) of the Income Tax Act, 1961	-	-	-	-
2	Stock Option	-	-	-	-
3	Sweat Equity	-	-	-	-
4	Commission				
	- as % of profit	-	-	-	-
	- others*	6.00	0.80	-	6.80
5	Others	0.43	0.14	0.18	0.75
	Total (A)	13.10	2.87	4.34	20.31
	Ceiling as per the Act	₹ 111.77 crores (being 10% of the net profits of the Company calculated as per Section 198 of the Companies Act, 2013)			

* proposed commission

** includes performance linked incentive

B. Remuneration to Other Directors

Sl. No.	Particulars of Remuneration	Name of Directors								Total Amount (₹ in crores)	
		Mr. P.H. Ravikumar	Mrs. Vibha Paul Rishi	Dr. Sutanu Behuria	Mr. Sunil Kant Munjal	Ms. Tanya Dubash	Mr. Harish N. Salve	Mr. Hardeep Singh	Mr. Dai Watanabe		Mr. Yuji Tomiyama
1	Independent Directors										
	o Fee for attending Board/ Committee Meetings	0.10	0.10	0.11	0.04	0.04	0.01	-	-	-	0.40
	o Commission	0.25	0.15	0.15	0.15	0.15	0.15	-	-	-	1.00
	o Others	-	-	-	-	-	-	-	-	-	-
	Total (1)	0.35	0.25	0.26	0.19	0.19	0.16	-	-	-	1.40
2	Other Non-Executive Directors										
	o Fee for attending Board/ Committee Meetings	-	-	-	-	-	-	0.11	-	-	0.11
	o Commission	-	-	-	-	-	-	0.15	-	-	0.15
	o Others	-	-	-	-	-	-	-	-	-	-
	Total (2)	-	-	-	-	-	-	0.26	-	-	0.26
	Total (B) = (1+2)	0.35	0.25	0.26	0.19	0.19	0.16	0.26	-	-	1.66
	Total Managerial Remuneration (A+B)										21.97
	Overall Ceiling as per the Act	₹ 122.94 crores (being 11% of the net profits of the Company calculated as per Section 198 of the Companies Act, 2013)									

C. Remuneration to Key Managerial Personnel (KMP) other than MD/ Manager/ WTD

Sl. No.	Particulars of Remuneration	Name of KMP		Total Amount (₹ in crores)
		Mr. Bharat Madan	Mr. Satyendra Chauhan	
1	Gross Salary			
	a. Salary as per provisions contained in Section 17(1) of the Income Tax Act, 1961	0.73	0.10	0.83
	b. Value of perquisites u/s 17(2) of the Income Tax Act, 1961	2.79	0.30	3.09
	c. Profits in lieu of salary u/s 17(3) of the Income Tax Act, 1961	-	-	-
2	Stock Option	-	-	-
3	Sweat Equity	-	-	-
4	Commission	-	-	-
	-as % of profit	-	-	-
	-others	-	-	-
5	Others	0.13	0.05	0.18
Total		3.65	0.45	4.10

VII. Penalties / Punishment/ Compounding of Offences

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed (₹ in crores)	Authority [RD / NCLT/ COURT]	Appeal made, if any (give Details)
COMPANY					
Compounding	134(5) of Companies Act, 2013	During the financial years ending 31.03.2015, 31.03.2016 and 31.03.2017 Company alleged to had not complied with Accounting Standard 13, 16 and 17 relating to various accounting & disclosures in Directors' Responsibility Statement and application to compound was submitted to close the matter, avoid litigation and to buy peace of mind.	0.03	Regional Director	No
DIRECTORS					
Compounding	211(3A), (3B) and (3C) of Companies Act, 1956	Company has been alleged that in its balance sheet for the period ended 2012-14 has failed to separately disclose the actual amount of borrowing costs capitalized during the period as per requirements of clause 23(b) read with AS-16 issued by ICWAI r/w Section 211 (3A), (3B) and (3C) of the Companies Act, 1956 and its balance sheet as on 30.09.2012 has not disclosed about segment reporting as per Section 211 of Companies Act, 1956 r/w AS-17 and application to compound was submitted to close the matter, avoid litigation and to buy peace of mind	0.00*	Regional Director	No
	129 of Companies Act, 2013	Company has been alleged that in its balance sheet as on 31.03.2017 Company failed to disclose various information relating to investments as per AS-13 and in balance sheets as on 31.03.2015, 31.03.2016 and 31.03.2017 did not report information relating to its segment as per section 129 r/w AS-17 and application to compound was submitted to close the matter, avoid litigation and to buy peace of mind	0.03	Regional Director	No
	134(5) of Companies Act, 2013	Company has been alleged that during the financial years ending 31.03.2015, 31.03.2016 and 31.03.2017 Company had not complied with Accounting Standard 13, 16 and 17 relating to various accounting & disclosures in Directors' Responsibility Statement and application to compound was submitted to close the matter, avoid litigation and to buy peace of mind	0.05	Regional Director	No
OTHER OFFICERS IN DEFAULT					
Compounding	134(5) of Companies Act, 2013	Company has been alleged that during the financial years ending 31.03.2015, 31.03.2016 and 31.03.2017 Company had not complied with Accounting Standard 13, 16 and 17 relating to various accounting & disclosures in Directors' Responsibility Statement and application to compound was submitted to close the matter, avoid litigation and to buy peace of mind	0.02**	Regional Director	No
	129 of Companies Act, 2013	Company has been alleged that in its balance sheet as on 31.03.2017 Company failed to disclose various information relating to investments as per AS-13 and in balance sheets as on 31.03.2015, 31.03.2016 and 31.03.2017 did not report information relating to its segment as per section 129 r/w AS-17 and application to compound was submitted to close the matter, avoid litigation and to buy peace of mind	0.02	Regional Director	No

* amount represents less than a lakh

** paid by former KMP